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**PATENT** 

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Elizabeth A. Jue

Applicant

: Laurence M. McKinley

Application No.

: 10/715,684

Filed

: November 17, 2003

Title

: NERVE ROOT RETRACTOR AND SUCKER

Grp./Div.

: 3761

Examiner

: Not Known

Docket No.

: 51013/RAW/M844

## INFORMATION DISCLOSURE STATEMENT AND CERTIFICATION UNDER § 1.97(e)(2)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 Post Office Box 7068 Pasadena, CA 91109-7068 July 12, 2004

## Commissioner:

In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, and in accordance with the provisions in the Manual of Patent Examining Procedure §§ 609 and 707.05(b), enclosed is FORM PTO/SB/08A/B with a listing of references that are known to applicant. Copies of each of the listed references are enclosed.

It is respectfully requested that these references be considered in the examination of this application and identified on the list of references cited on the patent issuing on this application. Applicant also requests that an initialed copy of said FORM PTO/SB/08A/B be entered in the application file and returned to applicant with the next communication from the Office in accordance with MPEP § 609.

Applicant's undersigned attorney hereby certifies, in accordance CFR § 1.97(e)(2), that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information

## **Application No. 10/715,684**

disclosure statement was known to any individual designated in Section 1.56(c) more than three months prior to the filing of the information disclosure statement.

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

Rose A. Hickman

Reg. No. 54,167

626/795-9900

RAH/eaj

Enclosures: PTO/SB/08A/B w/references

EAJ PAS574030.1-\*-07/12/04 2:02 PM

FORM PTO/SB/08A/B (10-01) Substitute for PTO-1449A/B	Attorney Docket Number	51013/RAW/M844
DIP EINFORMATION DISCLOSURE	Application Number	10/715,684
TEMENT BY APPLICANT	Filing Date	November 17, 2003
5 2002 PATEMENT BY APPLICANT	Applicant(s)	Laurence M. McKinley
PADEMAN (use as many sheets as necessary)	Group Art Unit	3761
	Examiner Name	Not Known

U.S. PATENT DOCUMENTS				
EXAMINER INITIALS	Cite No.1	DOCUMENT NUMBER Number - Kind Code <sup>2</sup> (If Known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
	1	1,621,212	03-15-1927	Myers
	2	4,049,000	09-20-1977	Williams
	3	4,715,071	12-29-1987	Henkin et al.
<del> </del>	4	5,123,403	06-23-1992	Lavyne
	5	5,902,315	05-11-1999	DuBois
	6	6,241,658	06-05-2001	Goodrich
	7	6,248,061	06-19-2001	Cook, Jr.

FOREIGN PATENT DOCUMENTS					
EXAMINER INITIALS	Cite No.1	Foreign Patent Document Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (If Known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	( <b>⋄</b> )

		OTHER DOCUMENTS
EXAMINER Cite INITIALS No.1		Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.

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EXAMINER	DATE	
SIGNATURE	CONSIDERED	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at www.pto.gov or MPEP 901.4. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>3</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English Language Translation is attached.

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